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MODIFIED PTO/SB/05 (03-01)

Approved for use through 10/31/2002, OMB 0651-0032

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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| | | |
|---|--|--|
| UTILITY PATENT APPLICATION TRANSMITTAL | | Attorney Docket No. 03797.00448 |
| | | First Inventor Kathryn G. Rasmussen |
| | | Title Simplified implementation of adaptable user interfaces |
| | | Express Mail Label No. EL941087791US |

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

10/607665 PRO
06/27/03

| | | | |
|---|--|---|--|
| APPLICATION ELEMENTS <small>See MPEP chapter 600 concerning utility patent application contents.</small> | | ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231 | |
| 1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <small>(Submit an original and a duplicate for fee processing)</small> | | 7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (<i>Appendix</i>) | |
| 2. <input type="checkbox"/> Applicant claims small entity status. <small>See 37 CFR 1.27.</small> | | 8. Nucleotide and/or Amino Acid Sequence Submission <small>(if applicable, all necessary)</small> | |
| 3. <input checked="" type="checkbox"/> Specification <small>[Total Pages 44]</small> <small>(preferred arrangement set forth below)</small> <ul style="list-style-type: none"> - Descriptive title of the Invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (<i>if filed</i>) - Detailed Description - Claim(s) - Abstract of the Disclosure | | a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: <ul style="list-style-type: none"> i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above copies | |
| 4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) <small>[Total Sheets 15]</small> <ul style="list-style-type: none"> a. <input checked="" type="checkbox"/> Formal; or b. <input type="checkbox"/> Informal | | 9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s)) | |
| 5. Oath or Declaration <small>[Total Pages 4]</small> <ul style="list-style-type: none"> a. <input checked="" type="checkbox"/> Newly executed (original or copy); or b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63 (d)) <small>(for a continuation/divisional with Box 18 completed)</small> | | 10. <input type="checkbox"/> 37 C.F.R. §3.73(b) Statement <input type="checkbox"/> Power of Attorney 11. <input type="checkbox"/> English Translation Document (<i>if applicable</i>) | |
| i. <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u> <small>Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</small> | | 12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations 13. <input type="checkbox"/> Preliminary Amendment 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <small>(Should be specifically itemized)</small> | |
| 6. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76 | | 15. <input type="checkbox"/> Certified Copy of Priority Document(s) <small>(if foreign priority is claimed)</small> | |
| | | 16. <input checked="" type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. 17. <input type="checkbox"/> Other: _____ | |

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation Divisional Continuation-in-part (CIP)
 Prior application information: Examiner _____ of prior application No: _____ / _____
 Group / Art Unit: _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

| | | | | | |
|---|-----------|-------|----------|--|--|
| <input checked="" type="checkbox"/> Customer Number or Bar Code Label <small>(Insert Customer No. or Attach bar code label here)</small> | | 28319 | | or <input type="checkbox"/> Correspondence address below | |
| Name | | | | | |
| Address | | | | | |
| City | State | | Zip Code | | |
| Country | Telephone | | Fax | | |

| | | | |
|-------------------|------------------|-----------------------------------|--------|
| Name (Print/Type) | William J. Klein | Registration No. (Attorney/Agent) | 43,719 |
| Signature | | | Date |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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FEE TRANSMITTAL for FY 2003

Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 844)

| Complete If Known | |
|----------------------|----------------------|
| Application Number | Unassigned |
| Filing Date | Unassigned |
| First Named Inventor | Kathryn G. Rasmussen |
| Examiner Name | Unassigned |
| Art Unit | Unassigned |
| Attorney Docket No. | 03797.00448 |

METHOD OF PAYMENT (check all that apply)

 Check Credit card Money Other None
Order
 Deposit Account:

Deposit Account Number 19-0733

Deposit Account Name Banner & Witcoff, Ltd.

The Commissioner is authorized to: (check all that apply)
 Charge fee(s) indicated below Credit any overpayments
 Charge any additional fee(s) during the pendency of this application
 Charge fee(s) indicated below, except for the filing fee
to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity | Small Entity | Fee Description | Fee Paid |
|--------------|--------------|-----------------|------------------------|
| Fee Code | Fee Code | (\\$) | (\\$) |
| 1001 | 2001 | 750 | Utility filing fee |
| 1002 | 2002 | 165 | Design filing fee |
| 1003 | 2003 | 260 | Plant filing fee |
| 1004 | 2004 | 375 | Reissue filing fee |
| 1005 | 2005 | 80 | Provisional filing fee |

SUBTOTAL (1)

(\$ 750)

2. EXTRA CLAIM FEES

| Total Claims | 23 | -20 ** | = | 3 | X | 18 | = | 54 | Extra Claims | Fee from below | Fee Paid |
|--------------------|----|--------|---|---|---|----|---|----|--------------|----------------|----------|
| Independent Claims | 3 | -3 ** | = | 0 | X | 84 | = | 0 | | | |
| Multiple Dependent | | | | | X | | = | 0 | | | |

Large Entity Small Entity

| Large Entity | Small Entity | Fee Description |
|--------------|--------------|-----------------|
| Fee Code | Fee Code | (\\$) |
| 1202 | 2202 | 9 |
| 1201 | 2201 | 42 |
| 1203 | 2203 | 140 |
| 1204 | 2204 | 42 |
| 1205 | 2205 | 9 |

SUBTOTAL (2)

(\$ 54)

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Large Entity | Small Entity | Fee Description | Fee Paid |
|--------------|--------------|-----------------|--|
| Fee Code | Fee Code | (\\$) | (\\$) |
| 1051 | 2051 | 130 65 | Surcharge - late filing fee or oath |
| 1052 | 2052 | 50 25 | Surcharge - late provisional filing fee or cover sheet. |
| 1053 | 1053 | 130 | Non-English specification |
| 1812 | 1812 | 2,520 2,520 | For filing a request for exparte reexamination |
| 1804 | 1804 | 920* 920* | Requesting publication of SIR prior to Examiner action |
| 1805 | 1805 | 1,840* 1,840* | Requesting publication of SIR after Examiner action |
| 1251 | 2251 | 110 55 | Extension for reply within first month |
| 1252 | 2252 | 410 205 | Extension for reply within second month |
| 1253 | 2253 | 930 465 | Extension for reply within third month |
| 1254 | 2254 | 1,450 725 | Extension for reply within fourth month |
| 1255 | 2255 | 1,970 985 | Extension for reply within fifth month |
| 1401 | 2401 | 320 160 | Notice of Appeal |
| 1402 | 2402 | 320 160 | Filing a brief in support of an appeal |
| 1403 | 2403 | 280 140 | Request for oral hearing |
| 1451 | 1451 | 1,510 1,510 | Petition to institute a public use proceeding |
| 1452 | 2452 | 110 55 | Petition to revive – unavoidable |
| 1453 | 2453 | 1,300 650 | Petition to revive – unintentional |
| 1501 | 2501 | 1,300 650 | Utility issue fee (or reissue) |
| 1502 | 2502 | 470 235 | Design issue fee |
| 1503 | 2503 | 630 315 | Plant issue fee |
| 1460 | 1460 | 130 130 | Petitions to the Commissioner |
| 1807 | 1807 | 50 50 | Processing fee under 37 CFR 1.17 (q) |
| 1806 | 1806 | 180 180 | Submission of Information Disclosure Stmt |
| 8021 | 8021 | 40 40 | Recording each patent assignment per property (times number of properties) |
| 1809 | 2809 | 750 375 | Filing a submission after final rejection (37 CFR § 1.129(a)) |
| 1810 | 2810 | 750 375 | For each additional invention to be examined (37 CFR § 1.129(b)) |
| 1801 | 2801 | 750 375 | Request for Continued Examination (RCE) |
| 1802 | 1802 | 900 900 | Request for expedited examination of a design application |

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

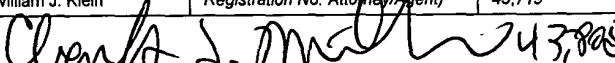
SUBTOTAL (3)

(\$ 40)

**or number previously paid, if greater; For Reissues, see above

SUBMITTED BY

Complete (if applicable)

| | | | | | |
|-------------------|--|---------------------------------|--------|---------------|----------------|
| Name (Print/Type) | William J. Klein | Registration No. Attorney/Agent | 43,719 | Telephone | (312) 463-5000 |
| Signature |  | 743,805 | Date | June 27, 2003 | |

WARNING: Information on this form may become public. Credit card information should not be included on this form.

Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231

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**NONPUBLICATION REQUEST
UNDER
35 U.S.C. 122(b)(2)(B)(i)**

| | |
|----------------------|--|
| First Named Inventor | Kathryn G. Rasmussen |
| Title | Simplified implementation of adaptable user interfaces |
| Atty Docket Number | 03797.00448 |

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

June 27, 2003

Date

 +3,805

Signature

William J. Klein

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

CERTIFICATE OF MAILING BY EXPRESS MAIL

Express Mail No. EL941087791US
Date of Deposit: June 27, 2003

I hereby certify that the attached correspondence, identified below, is being deposited with the United States Postal Service as "Express Mail Post Office Address" under 37 CFR §1.10 on the date indicated above and is addressed to the Commission for Patents, Mailstop Patent Application, P.O. Box 1450, Alexandria, VA 22313-1450.

By: Xredel

In the Application of Kathryn G. Rasmussen

Serial No.: unassigned

Filing Date: unassigned

Title: Simplified implementation of adaptable user interfaces

- Patent Utility transmittal form (1 page)
- Fee transmittal form (1 page)
- Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i) (1 page)
- Application Data Sheet (4 pages)
- Specification (44 pages)
- Drawings- Figures 1-17 (15 pages)
- Executed Declaration and Power of Attorney (4 pages)
- Recordation Cover Sheet and Assignment (5 pages)
- Return receipt postcard

Attorney Docket No.: 03797.00448